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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|---|----------------------|------------------------|--|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/615,065             |  |
|   | Filing Date          | 07/08/2003             |  |
|   | First Named Inventor | Sung-Ming Yen          |  |
|   | Art Unit             |                        |  |
|   | Examiner Name        |                        |  |
| Total Number of Pages in This Submission  | 7                    | Attorney Docket Number |  |

| ENCLOSURES (Check all that apply)   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input checked="" type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                                      | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                                      | <input type="checkbox"/> Change of Correspondence Address                 | <input type="checkbox"/> Other Enclosure(s) (please identify below):                    |
| <input type="checkbox"/> Express Abandonment Request                                    | <input type="checkbox"/> Terminal Disclaimer                              |   |
| <input type="checkbox"/> Information Disclosure Statement                               | <input type="checkbox"/> Request for Refund                               |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                         | <input type="checkbox"/> CD, Number of CD(s) _____                        |   |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |   |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                             |
|--|-----------------------------|
| Firm or Individual name                    | Law Office of Clement Cheng |
| Signature                                  |                             |
| Date                                       | 11-20-2003                  |

| CERTIFICATE OF TRANSMISSION/MAILING   |               |
|---|---------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |               |
| Typed or printed name   | Chengtao Chou |
| Signature   |               |
| Date  | 11-02-2003    |

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130.00

## Complete if Known

|                      |               |
|----------------------|---------------|
| Application Number   | 10/615,065    |
| Filing Date          | 07/08/2003    |
| First Named Inventor | Sung-Ming Yen |
| Examiner Name        |               |
| Art Unit             |               |
| Attorney Docket No.  |               |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 770      | 2001         | 385      | Utility filing fee     |          |
| 1002         | 340      | 2002         | 170      | Design filing fee      |          |
| 1003         | 530      | 2003         | 265      | Plant filing fee       |          |
| 1004         | 770      | 2004         | 385      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$ 0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    | Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|--------------|----------------|----------|
| Total Claims       |              | -20** =      |                |          |
| Independent Claims |              | -3** =       |                |          |
| Multiple Dependent |              |              |                |          |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                          |
| 1203         | 290      | 2203         | 145      | Multiple dependent claim, if not paid                      |
| 1204         | 86       | 2204         | 43       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity                                   |          | Small Entity |          | Fee Description  | Fee Paid |
|--|----------|--------------|----------|--|----------|
| Fee Code                                       | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051   | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052   | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053   | 130      | 1053         | 130      | Non-English specification  |          |
| 1812   | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804   | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805   | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251   | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252   | 420      | 2252         | 210      | Extension for reply within second month                                    |          |
| 1253   | 950      | 2253         | 475      | Extension for reply within third month                                     |          |
| 1254   | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |          |
| 1255   | 2,010    | 2255         | 1,005    | Extension for reply within fifth month                                     |          |
| 1401   | 330      | 2401         | 165      | Notice of Appeal   |          |
| 1402   | 330      | 2402         | 165      | Filing a brief in support of an appeal                                     |          |
| 1403   | 290      | 2403         | 145      | Request for oral hearing   |          |
| 1451   | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452   | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453   | 1,330    | 2453         | 665      | Petition to revive - unintentional   |          |
| 1501   | 1,330    | 2501         | 665      | Utility issue fee (or reissue)   |          |
| 1502   | 480      | 2502         | 240      | Design issue fee   |          |
| 1503   | 640      | 2503         | 320      | Plant issue fee  |          |
| 1460   | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807   | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806   | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021   | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809   | 770      | 2809         | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810   | 770      | 2810         | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801   | 770      | 2801         | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802   | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) Late Declaration Surcharge |          |              |          | 130.00   |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00

## SUBMITTED BY

Name (Print/Type) Clement Cheng

Registration No. 45463  
(Attorney/Agent)

(Complete if applicable)

Telephone (714)825-055

Signature

Date

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